

JC976 U.S. PTO
02/16/01

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PTO/SB/05 (08-00)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	4969 USA/ISM/COPPER/SB
First Inventor or Application Identifier	Mok
Title	INTEGRATED SEMICONDUCTOR SUBSTRATE BEVEL CLEANING APPARATUS AND METHOD
Express Mail Label No	ECC1K05427615

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents

- 1 Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- 2 Applicant claims small entity status.
See 37 CFR 1.27
- 3 Specification [Total Pages 22]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Pages 4]
 - a Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 17 completed)

DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
 - a Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. § 3.73(b)Statement Power of Attorney (*when there is an assignee*)
11. English Translation Document (*if applicable*)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
15. Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16. Other: Priority Claim

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

Continuation Divisional Continuation-in-part (CIP)
Prior application information Examiner _____

of prior application No. _____ /
Group, Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below:			
Name	Patent Counsel Applied Materials, Inc				
Address	3050 Bowers Avenue P.O. Box 450A				
City	Santa Clara	State	CA	Zip Code	95052
Country	U.S.A.	Telephone		Fax	

Name (Print/Type)	Robert W. Mulcahy	Registration No. (Attorney, Agent)	26-436
Signature			
Date	2-16-01		

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jc868 U.S. PTO
09/785815jc868 U.S. PTO
02/16/01

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 746)

Complete if Known	
Application Number	Not yet assigned
Filing Date	Herewith
First Named Inventor	Mok
Examiner Name	Not yet assigned
Group / Art Unit	Not yet assigned
Attorney Docket No.	4969 US/ISM/COPPER/SB

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to Deposit Account Number 50-1074</p> <p>Deposit Account Name Applied Materials, Inc</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2 520</td><td>147</td><td>2 520</td><td>For filing a request for reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1 840*</td><td>113</td><td>1 840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1 390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1 890</td><td>218</td><td>945</td><td>Extension for reply within fifth month</td></tr> <tr><td>119</td><td>310</td><td>218</td><td>155</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1 510</td><td>138</td><td>1 510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive – unavoidable</td></tr> <tr><td>141</td><td>1 240</td><td>241</td><td>620</td><td>Petition to revive – unintentional</td></tr> <tr><td>142</td><td>1 240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td></tr> <tr><td>144</td><td>610</td><td>244</td><td>300</td><td>Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td></tr> <tr><td></td><td></td><td></td><td></td><td>Petitions related to provisional applications</td></tr> <tr><td></td><td></td><td></td><td></td><td>Submission of Information Disclosure Stmt</td></tr> <tr><td></td><td></td><td></td><td></td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td></td><td></td><td></td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td></td><td></td><td></td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td></td><td></td><td></td><td></td><td>Request for Continued Examination (RCE)</td></tr> <tr><td></td><td></td><td></td><td></td><td>Request for expedited examination of a design application</td></tr> <tr><td></td><td></td><td></td><td></td><td>Other fee specify</td></tr> <tr> <td colspan="4">SUBTOTAL (1) (\$ 710)</td> <td colspan="4">SUBTOTAL (3) (\$ 0)</td> </tr> <tr> <td colspan="8"> *or number previously paid if greater. 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Name (Print/Type)	Robert W. Mulcahy	Registration No.	Attorney/Agent	25 436	Telephone																																																																																																																																																																																
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